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Article

Organocatalyzed diastereo- and enantioselective synthesis of N–N atropisomeric isoindolinones bearing central chirality

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Huijing Xiang³, Peiyuan Yu@² & Pei-Nian Liu@^{1.4} Published online: 15 February 2025Methods for catalytically constructing of N-N axially chiral scaffolds have
garnered significant attention since such compounds are widely present in
natural products, bioactive molecules, and organic materials. Herein, we
report a highly diastereoselective and enantioselective organocatalyzed [4 + 1]
annulation method for synthesizing diverse valuable isoindolinones that
possessing N-N axial and central chiralities. This methodology uses a chiral
phosphoric acid as a bifunctional catalyst to promote a cascade sequence
involving two nucleophilic additions, dehydration, and dearomatization pro-

cesses. Control experiments and DFT calculations revealed a possible mechanism in which the stereoselectivity-determining step is likely to involve the irreversible formation of a hydroxy biaryl intermediate. Additionally, preliminary biological activity studies showed that some of these N–N axially chiral isoindolinones have potential in suppressing tumor-cell proliferation.

Catalytic enantioselective synthesis of atropisomers has gained substantial attention over the past few years owing to its great potential in drug discovery, catalyst/ligand design and functional material development¹⁻⁷, with significant achievements reported for the syntheses of C-C and C-N axially chiral compounds7-26. In sharp contrast, studies into N-N atropisomers and their syntheses remain largely underdeveloped and challenging, presumably due to the relatively low rotational barriers associated with N-N bonds. Although the N-N chiral axis is widely present in natural products, bioactive molecules, and organic materials²⁷⁻³³, the Lu group first reported the construction of N–N atropisomers in 2021³⁴. Since then, several strategies have been established for the construction of N-N atroposelective scaffolds (Fig. 1a)³⁵⁻⁴⁸. Organocatalyzed N-H alkylation and acylation reactions have been developed to access 1-aminopyrroles and

3-aminoquinazolinones³⁶⁻³⁹, while, Liu realized the synthesis of N–N bispyrroles through the use of Cu-catalyzed desymmetric Friedel–Crafts alkylation chemistry⁴⁰. In addition, asymmetric annulation chemistry involving the de novo formation of aza-arenes^{41–45}, and the C–H functionalization of pro-chiral N–N biaryls also provide facile access to N–N chiral bisindoles and *N*-pyrrolylindoles^{46,48}. More recently, Li and Niu independently developed C–H activation/annulation for the atroposelective synthesis of *N*-aminoisoquinolinone^{49,50}. Despite these advances, the development of methods for accessing novel N–N axially chiral scaffolds in a facile manner remains highly demanding yet challenging.

In recent years, the catalytic enantioselective construction of centrally chiral atropisomers has become an emerging field because it offers a significant opportunity to expand potential applications by

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- A new strategy for the enantioselective synthesis of N-N atropoisomers
- Facile synthesis of unprocedented N-N axially chiral N-amino isoindolinones

Fig. 1 | **Facile synthesis of unprecedented N – N atropisomers and our design. a** Status of catalytic construction of N – N atropisomers. **b** Concept of our design for catalytic synthesis of N – N atropisomeric isoindolinones bearing central chirality. **c** This work: synthesis of isoindolinones possessing N – N axial and central chirality. CPA chiral phosphoric acid, E electrophile.

integrating new properties into atropisomers^{51–53}. In sharp contrast to the widely explored multichiral C–C and C–N atropisomers, constructing N–N atropisomers with central chirality remains largely undeveloped (Fig. 1a)^{54,55}. The generation of more than one chiral

element in the catalytic process presents formidable challenges for both diastereo- and enantio-control. Bencivenni recently reported chemistry for the indirect synthesis of atropoisomeric hydrazides via a one-pot sequence involving two organocatalytic cycles⁵⁴. However, direct approaches that provide highly stereoselective access to atropisomers bearing N–N axial and central chiralities appear to be unprecedented, despite being highly attractive.

On the other hand, isoindolinone motifs form the core structures of a variety of natural products and pharmacologically relevant molecules⁵⁶⁻⁵⁸. We recently became aware of a report detailing a facile access to isoindolinones by N-capping primary amines with 2-acylbenzaldehydes⁵⁹⁻⁶¹; this transformation was assumed to involve an acid-promoted condensation-tautomerization cascade. In light of this stimulating work and recent advances in stereocontrol catalysed by chiral phosphoric acids (CPAs)^{11-14,62-65}, we envisaged the possibility of synthesizing N-N atropisomers by harnessing asymmetric [4+1] annulation chemistry involving bulky hydrazine and an ortho-formylbenzophenone in which contiguous axial and central chiralities are established through a CPA-catalyzed aromatization and dearomatization cascade process (Fig. 1b). In this strategy, 2-acylbenzaldehydes and hydrazines are used as 1,4-dielectrophiles and 1,1-dinucleophiles, respectively. A CPA is a suitable bifunctional catalyst that can promote sequential double nucleophilic additions and dehydration to generate reactive hydroxvisoindoline intermediate III, which is prone to asymmetric tautomerization (Path I). Another possible scenario involves the use of electrophilic reagents or species that can potentially react with III in an asymmetric dearomatization manner to furnish a N-N atropoisomer with a quaternary stereocenter (Path II). Herein, we present efficient chemistry for the synthesis of N-N atropoisomeric isoindolinones involving a highly diastereo- and enantioselective [4+1] annulation reaction (Fig. 1c). This transformation not only represents the first highly stereoselective and catalytic method for constructing centrally chiral N-N atropisomers, but also provides access to a new N-N atropoisomer family members that are potentially biologically active.

Results

Reaction development

To test our hypothesis, we chose 2-acyl-benzaldehyde 2a as the 1.4dielectrophile, N-aminoindole 1a as the dinucleophile in view of its good nucleophilicity as well as the wide existence of axially chiral indole scaffolds in bioactive compounds and natural products (Table 1). To our delight, BINOL-derived CPA (R)-A1 successfully catalyzed the expected asymmetric aromatization and tautomerization processes, to afford the N-N axially chiral isoindolinone 3a in 33% yield, 25% ee, and 10:1 dr (entry 1). We next examined various BINOLand SPINOL-derived CPA catalysts bearing aryl substituents with varying electronic properties and steric effects (entries 2-9). Among these, (S)-A4 was identified as the optimal one, affording 3a with 53% yield, 91% ee, and 13:1 dr (entry 4). Solvent screening (see the Supplementary Information for details) showed that o-xylene and PhCl performed competitively, whereas DCE led to a sharp decrease in ee, albeit with a higher yield (entries 10-12). Toluene was determined to be the best solvent. Moreover, an enhanced yield and a slightly higher ee were obtained by decreasing the temperature to -20 °C (entry 13). Finally, reducing the catalyst loading to 5 mol% improved the yield to 73%, along with 93% ee and >20:1 dr (entry 14). It is worth mentioning that by-product 3a' was observed in yields of less than 10% in these optimization studies (see the Supplementary Information for details)66.

Substrates scope exploration

Having identified the optimal conditions (entry 14, Table 1), we next examined the scope of the asymmetric [4+1] annulation reaction (Fig. 2). A wide range of *N*-aminoindoles **1** bearing substituents with different electronic properties at the C5, C4, and C3 positions exhibited good reactivities in this reaction, with products **3a**–**3k** formed in yields of 54–77% with excellent enantioselectivities and diastereoselectivities (89–98% ee, >20:1 dr). Other ester and even

amide groups at the C2 position of the indole ring were also tolerated, to afford **31** and **3m** in moderate-to-good yields and high stereoselectivities. To further broaden the substrate scope, we also examined *N*-aminopyrroles. To ensure that a sufficiently rotationally restricted N–N axis was generated, we initially examined the use of 2,4-disubstituted *N*-aminopyrrole **1n**. Gratifyingly, the desired product **3n** was efficiently formed (64% yield, >99% ee, >20:1 dr) using (*R*)-**C2** as the catalyst. Moreover, multi-substituted *N*-aminopyrroles also performed well to afford **30** and **3p**. In addition, various ester units were well tolerated in this system, with **3q**-**3t** produced in good yields and excellent stereoselectivities (56–72% yield, 94–96% ee, >20:1 dr). The absolute configurations of **3i** and **3n** were assigned to be (*R*_{N-N}, *S*_C) and (*R*_{N-N}, *R*_C), respectively, by X-ray crystallography.

We next studied the feasibility of asymmetric [4+1] annulation chemistry involving different 2-acylbenzaldehydes. A variety of 2-acetylbenzaldehydes bearing substituents with different electronic properties at the C4–C6 positions reacted smoothly with **1a** to highly efficiently and stereoselectively afford N–N axially chiral isoindolinones **3u–3aa** (57–74% yield, 88–99% ee, >20:1 dr). Changing of the methyl group to *n*-propyl did not significantly affect the efficiency or stereoselectivity of the reaction.

In addition, we also attempted to construct a contiguous N-N axis and quaternary stereocenter by harnessing an appropriate electrophile to trap the in-situ generated hydroxyisoindoline intermediate III in presence of a CPA; however, our efforts were unsuccessful. The enol species significantly favored tautomerization over nucleophilic addition in the acidic system. Interestingly, N-aminoindole 1 bearing a bulky CO₂^{*i*}Pr or CO₂^{*t*}Bu ester moiety at the C2 position, underwent an unexpected condensation and oxidative asymmetric dearomatization (Fig. 3). This transformation predominantly delivered N-N axially chiral isoindolinonyl hydroperoxide 4a or 4b bearing a quaternary stereocenter with excellent stereoselectivities (92-97% ee, >20:1 dr). The structure and absolute configuration of 4b were confirmed by X-ray crystallography. We subsequently expanded the scope of this chiral isoindolinonvl hydroperoxide-forming chemistry: 2-acylbenzaldehydes bearing either electron-donating or electronwithdrawing groups performed well in this transformation, to highly efficiently yield 4c-4 f with excellent stereoselectivities. A n-propyl or aryl substituent was well tolerated, rendering products 4g-4k with high efficiency (56-64% yields, 85-96% ee and >20:1 dr), irrespective of the electronic nature or position of the substituent on the phenyl ring. The protocol also tolerated 5-methyl- and 5-bromo-substituted Naminoindoles, furnishing 41-40 in moderate-to-good yields with excellent stereocontrol (94-98% ee, >20:1). Also, 1y, which is derived from (-)-borneol, was a suitable substrate for preparing 4p, albeit in notably lower yield.

Moreover, the chiral products **3p**, **3 u**, **4 f** and **4j** were stirred in toluene at 110 °C for 12 h. Such four compounds could be recovered in high yields, with high enantioselectivities and diastereoselectivities retained, which indicated that the N–N axially chiral isoindolinones have high chemical stability and configurational stability (see the Supplementary Information for details).

Synthetic applications and mechanistic studies

To further highlight the synthetic practicality and utility of this catalytic method, we performed scaled-up experiments and further transformed the chiral products (Fig. 4). **1e** was reacted with **2a**, while **1o** was reacted with **2a**, each on a 1 mmol scale, with products **3e** and **3n** obtained without obvious erosions in yield or stereoselectivity (Fig. 4a, b). In addition, selective C3-bromination of the pyrrole ring of **3n** proceeded well to form **3p**, which underwent further Suzukicoupling with minimal erosion of enantiopurity (Fig. 4c). The ester substituent in **3n** was readily converted into a carboxyl group, with the high ee of the starting material maintained.

Table 1 | Optimization of the reaction conditions^a





^aReaction conditions: **1a** (0.1 mmol), **2a** (0.05 mmol), catalyst (10 mol%), toluene (0.5 mL). ^bIsolated yields are provided.

°The ee values were determined by chiral HPLC.

^dDiastereomeric ratios (dr) were determined from ¹H NMR of the isolated product.

^eReaction performed at -20 °C.

^f5 mol% of (S)-**A4** was used.

⁹2.5 mol% of (S)-A4 was used. DCE, 1,2-dichloroethane; PhCl, chlorobenzene.

A series of control experiments were carried out to gain some insights into the reaction mechanism (Fig. 5). First, phthalaldehyde was reacted with **1e** to form the desired product **7**, whereas the 1,2diacetylbenzene reacted with **1e** to afford a complex mixture with no cyclized compound detected (Fig. 5, eq 1 and 2), which indicates that dehydration is essential for the [4+1] annulation reaction. Moreover, treating side product **3a**' with H₂O (1 equiv.) under typical conditions did not afford **3a** (Fig. 5, eq 3), which suggests that **3a**' is not a reaction intermediate. Interestingly, replacing the CPA catalyst with achiral diphenylphosphoric acid provided racemic products **3i** and **3n** with excellent diastereoselectivities (Fig. 5, eq 4 and 5). In addition, we treated **4 d'** under the standard conditions to shed light on the formation of hydroperoxide; **4 d** was not formed under these conditions, thereby excluding the involvement of a direct oxidation pathway (Fig. 5, eq 6). Some deuterium experiments were conducted (see the Supplementary Information for details). We introduced **RPA1-D** to the reaction of **1a** and **2a**, providing product **3a** in 53% yield with 24% deuterium incorporation. The result indicates that CPA works as an acid and proton source in the reaction for **3a**.



Fig. 2 | Scope of the asymmetric [4+1] annulation for N-N axially chiral isoindolinones. Reaction conditions: 1 (0.4 mmol), 2 (0.2 mmol), (*S*)-A4 (5 mol%), toluene (2 mL), -20 °C, 36 h. Isolated yields. ^a(*R*)-C2 (5 mol%) was used as catalyst, 25 °C, 24 h. Tol., toluene.

Density functional theory studies

We used density functional theory (DFT) calculations to model the formation of isoindolinone **3a** by the reaction of hydrazine **1a** with 2-acylbenzaldehyde **2a** to better understand the mechanism and key steps responsible for the observed stereoselectivity. As shown in Fig. 6a, the first addition of the amino group of **1a** to the aldehyde reversibly generates racemic intermediate **I**, which is 1.4 kcal/mol higher in energy than the reactants. The second addition to the ketone moiety forms intermediate **II**, which contains two chiral centers and one chiral axis. Consequently, eight stereoisomers are possible. The relative energies of the four diastereomers range from -3.5 to -0.6 kcal/mol (Fig. **6b**). The subsequent dehydration and

tautomerization, which generates intermediate **III** and product **3a**, respectively, are highly exergonic and irreversible. The calculated rotational barriers for intermediates **II**, **III**, and **3a** are shown in Fig. 6c. The irreversible formation of intermediate **III** is highly likely to be the stereodetermining step owing to the calculated high barriers, leading to the formation of only one major enantiomer of **III**. The exact process by which the chiral phosphoric acid catalyst facilitates this step and controls the stereoselectivity is currently being explored in our laboratories.

Additionally, we propose a plausible mechanism for the formation of products **3** and **4** based on our experimental observations and the DFT calculations discussed above, as well as literature precedent







Fig. 4 | Scale-up experiments and synthetic application. a, b Mmol-scale synthesis. c Transformations of enantioenriched products. NBS N-Bromosuccinimide, DMF N,N-Dimethylformamide, THF tetrahydrofuran.



Fig. 5 | Control experiments. Control experiments for the [4+1] annulation or the oxidation process.



Fig. 6 | DFT calculations for the reaction free energies of model reaction between 1a and 2a to form product 3a. a The energy profile of the [4+1] annulation of 1a and 2a. b The relative energies of the four different diastereomers II-1 – II-4. c The computed rotational barriers of intermediates II, III, and product 3a.



Fig. 7 | Proposed mechanism for the generation of products 3 and 4. Plausible mechanism.



Fig. 8 | **Anticancer performance assessment.** \mathbf{a} - \mathbf{c} Cell viability of compounds $\mathbf{3a}$, $\mathbf{3n}$, and $\mathbf{4a}$ (n = 5 biologically independent samples). \mathbf{d} - \mathbf{f} Confocal images of 4T1 cells stained with DCFH-DA and calcein-AM/PI after treatment with various doses of

compounds **3a**, **3n**, and **4a**. **g-i** Flow cytometry analysis of apoptotic cells after treatment with different concentrations of compounds **3a**, **3n**, and **4a**.

(Fig. 7)^{40–54,67,68}. The process begins with acid-promoted addition and dehvdration to form intermediate A, which tautomerizes by protonation at the *Re* face of the hydroxypyrrole-ring plane to give product **3**. Alternatively, it is proposed that the oxidative dearomatization is initiated by the removal of a proton and the triplet O_2 is more likely to oxidize the generated anion A' to a radical species B through a singleelectron transfer (SET) process^{67,68}. Subsequently, the residual superoxide radical anion attacks the C4 position of the pyrrole ring of intermediate **B** from the *Si* face to form **C**, which is finally protonated to afford product 4. Preliminary results from DFT-calculated energetics suggest that this mechanism is feasible. The theoretical result indicated that the deprotonated anion 3b-4 is much easier to be oxidized by triplet oxygen through a SET process to generate radical 3b-2, which is endergonic by 11.0 kcal/mol. The subsequent addition of the superoxide radical anion to radical 3b-2 is highly exergonic (-31.0 kcal/ mol), which provides the driving force for the whole process. Moreover, we have performed additional DFT calculations to investigate the thermodynamic preferences for the two types of products (see the Supplementary Information for details). The preliminary results indicate that the formation of **3a** (*Re* face) is thermodynamically preferred. In contrast, the observed product (4b) for the peroxidation (Si face) is less stable than the minor diastereomer **4b-1**, which suggests that the CPA catalyst is likely involved in the peroxidation step.

Anticancer performance evaluation

Finally, we were intrigued by the potential biological activities of the synthesized enantioenriched N – N atropisomers. Isoindolinones exhibited various biological activities, including anticancer, antibacterial, antiviral activities, and have been extensively studied as key core scaffolds for diverse drug candidates^{69–73}. Therefore, it is of crucial significance to assess the antitumor performance of our synthesized N – N axially chiral isoindolinones, as shown in Figs. 2, 3. Cell counting kit-8 (CCK-8) assay was used to preliminarily screen the viability of 4T1 cell after treatment with a series of products **3** and **4**. The results of CCK-8 illustrated that compounds **3a**, **3n**, and **4a** partially inhibited tumor-cell growth, with the cell viabilities of 66.5%, 56.8%, and 53.1% at the concentration of 50 μ M, respectively (Fig. 8a–c). These preliminary results shown that our synthesized N – N axially chiral isoindolinones have potential in inhibiting tumor-cell growth.

Generally, overproduction of reactive oxygen species (ROS) is a key marker in the early stage of apoptotic cells. 2',7'-dichlorodihydrofluorescein diacetate (DCFH-DA) was utilized as the ROS fluorescent probe to assess the intracellular ROS levels after diverse treatments. As displayed in Fig. 8d-f, the confocal images illustrated that the green fluorescence signals of ROS increased with elevating doses of compounds 3a, 3n, and 4a, indicating the effective apoptosis induction. Furthermore, 4T1 cells were treated with increasing concentrations of these compounds, and then labeled with calcein acetoxymethyl ester (calcein-AM) and propidium iodide (PI) to visualize live and dead cells. After treatment with 50 µM of 3a, 3n, and 4a, a distinct red fluorescence signal of PI was observed in 4T1 cells, suggesting their high inhibitory activity against cancer cells. Moreover, flow cytometry analysis was conducted using annexin V-fluorescein isothiocyanate/PI (annexin V-FITC/PI) staining assay to confirm the apoptosis induction by these three compounds (Fig. 8g-i). The results revealed that compounds 3a, 3n, and 4a induced apoptosis of 4T1 cells in a dose-dependent manner, with apoptosis rates of 50.2%, 55% and 41.5% at 50 μ M, respectively, which verified that the anticancer activity of compounds 3a, 3n, and 4a may be related to the induction of apoptosis.

Discussion

In summary, we established an unprecedented, highly diastereoselective, and atroposelective protocol for the synthesis of N-N axially chiral isoindolinones that proceeds via a Brønsted acidcatalyzed asymmetric [4+1] annulation, which represents the first example of the direct construction of N–N atropisomers with central chirality and excellent stereocontrol. This methodology is promoted by a chiral phosphoric acid that bifunctionally catalyzes a sequence of two nucleophilic additions, dehydration, and dearomatization. Control experiments and DFT calculations revealed that the mechanism possibly involves the irreversible formation of the hydroxy-biaryl intermediate as likely the key step responsible for the observed stereoselectivity. In addition, preliminary biological activity studies revealed that some of these N–N axially chiral isoindolinones exhibited potential tumor-cell inhibitory activity.

Methods

General procedure for the synthesis of enantioenriched 3 and 4 General Procedure. At -20 °C or 25 °C, to an oven-dried 10-mL vial charged with a solution of the 1*H*-indol-1-amine or 2-methyl-1*H*-pyrrol 1-amine 1 (0.4 mmol) and the substituted 2-acetylbenzaldehyde **2a** (0.2 mmol) in toluene (1.5 mL) was added a solution of the catalyst (*S*)-A4 (7.5 mg, 0.01 mmol, 5 mol%) or (*R*)-C2 (6.7 mg, 0.01 mmol, 5 mol%) in toluene (0.5 mL). The reaction mixture was stirred at the same temperature for 24 h or 36 h. The mixture was concentrated under reduced pressure and then purified by silica gel (deactivated by triethylamine) flash chromatography to afford the desired product **3** or **4**.

Reporting summary

Further information on research design is available in the Nature Portfolio Reporting Summary linked to this article.

Data availability

All data generated and analyzed during this study are included in this Article and its Supplementary Information. The X-ray crystallographic coordinate for structures **3i**, **3n**, and **4b** have been deposited at the Cambridge Crystallographic Data Centre under deposition numbers CCDC 2323929 (for **3i**), 2323930 (for **3n**), and 2323931 (for **4b**), respectively and can be obtained free of charge from the CCDC via http://www.ccdc.cam.ac.uk/data_request/cif. All data are available from the corresponding author upon request. Source data are present with this paper.

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Author contributions

X.L. conceived, directed the project and wrote the paper. X.-Z.W. and Q.-Y.C. performed and analyzed the experiments. P.Y. and B.S. performed the DFT studies. H.X. designed and performed the biological experiments. P.-N.L. directed the project. All the authors discussed the results and commented on the manuscript.

Competing interests

The authors declare no competing interests.

Additional information

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