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Mechanistic studies on nickel-catalyzed

 $\gamma$ -butenolide synthesis via C–C activation

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Highly efficient Ni-catalyzed C-C activation of cyclopropenones en route to enantioselective [3 + 2] annulation with  $\alpha$ -CF<sub>3</sub> enones or 1,2-diones has been realized toward the efficient synthesis of  $\gamma$ -butenolides. Mechanistic aspects such as exceptionally high efficiency, unusual enone carbonyl-participated chemoselectivity, and enantioselective control have been elucidated by an integrated experimental and computational approach. DFT studies revealed a key step of oxidative addition of cyclopropenone to enone-ligated nickel, followed by an unusual endo-type 4,1-insertion to give a tethered allyl-Ni(II) intermediate. Stereo-determining C–C reductive elimination of this allyl species affords the [3 + 2] annulation

product. Computational studies also suggested that coordination of the olefin unit of enone dramatically

decreases the activation barrier of subsequent C-C oxidative addition of cyclopropenone. Moreover, a

three-coordinate Ni(0) olefin complex (confirmed by X-ray crystallography) was experimentally and

enantioselective [3 + 2] annulation for

of diarylcyclopropenones\*

theoretically identified as the resting state of the catalyst.

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### Introduction

Metal-catalyzed C-C bond activation, which allows facile reconstruction of the framework of organic substrates, has been established as an increasingly attractive strategy for the rapid construction of complex structures in an atom-economical fashion.<sup>1</sup> Compared with C-H bonds, the C-C bond is generally less sterically accessible, and the  $\sigma$  orbital in C–C bonds is also more directional, which leads to a high kinetic barrier during interactions with transition metals. Thus, two strategies have been frequently adopted to address this challenge, namely, use

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ing reports on C-C bond activation systems, studies on enantioselective transformation through C-C bond activation severely lag behind, even for strained ring systems. In recent years, Dong,<sup>3</sup> Cramer,<sup>4</sup> Murakami,<sup>5</sup> and other groups<sup>6</sup> demonstrated notable breakthroughs in rhodium- and nickel-catalyzed intramolecular insertion of  $\pi$ -bonds into C-C bonds in enantioselective fashions (Scheme 1a), where chelation-assistance and ring strain were collectively employed to enhance both the reactivity and regioselectivity for the efficient synthesis of fused and bridged cycles. However, these  $\pi$ -bonds have been often restricted to carbon-carbon bonds in monofunctional substrates such as alkenes, alkynes, 1,3-dienes, and enones.<sup>1,2</sup>

of ring strain and a proximal chelating group.<sup>1,2</sup> Despite increas-

In contrast to intramolecular systems, intermolecular enantioselective coupling systems have been rarely reported, where the issues of chemo- and regioselectivity may often arise. As a first-row transition metal, nickel(0) plays an appealing role in this challenging area.<sup>7,8</sup> In 2017, Ye and coworkers elegantly reported Ni-Al co-catalyzed enantioselective cycloaddition of cyclopropyl carboxamide with alkyne via C-C activation (Scheme 1a).<sup>7</sup> This system development is challenging and requires cooperation of two metals even though the coupling partner (alkyne) seems reactive. In previous studies, the coupling partner to the C-C bond is either intramolecular or mono-functional. Therefore, complication of chemoselectivity will arise if the coupling partner bears multifunctionality

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Scheme 1 Nickel-catalyzed C–C activation and enantioselective coupling system. (a) Transition-metal catalyzed C–C activation and enantioselective coupling with a monofunctional coupling partner. (b) Nickel-catalyzed C–C activation and enantioselective coupling with a bifunctional coupling partner.

(Scheme 1a). Very recently, our group briefly reported nickelcatalyzed enantioselective [3 + 2] annulation of cyclopropenones with  $\alpha,\beta$ -unsaturated ketones/imines through C–C activation.8 The most striking feature of this system is the exclusive C=O insertion. In addition, the reaction enantioselectivity is well controlled, which offers a chemoselective [3 + 2] annulation approach to access enriched  $\gamma$ -alkenyl-butenolides and  $\gamma$ -lactams (Scheme 1b).<sup>9</sup> While this C–C activation system proved to be efficient, the origin of chemoselectivity and enantioselectivity remains big mechanistic puzzles. The rarity of enantioselective C-C activation and the unusual chemoselectivity inspired us to conduct detailed mechanistic studies, which may provide important insights into the future development of enantioselective systems via C-C activation. Herein we report the applications of other bifunctional coupling partners for chemoselective  $\gamma$ -butenolide synthesis through nickel-catalyzed C-C bond activation. In addition, mechanistic studies of this system by integration of experimental and computational methods (DFT) revealed an endo-type 4,1-insertion transition state of carbonyl from enone into the Ni-acyl bond as the origin of the chemo- and enantioselectivity. This new mechanistic profile provides new insights into the unique high reactivity of the catalytic system and may benefit the future development of other C-C activation systems (Scheme 1b).

### **Results and discussion**

#### **High efficiency**

The efficiency of the coupling system that we previously developed was further examined. As shown in Table 1, when the

Table 1High efficiency of the Ni/L1 catalyst for the coupling of diphenylcyclopropenone 1a and enone  $2a^a$ 

	Ph Ph 1a	* F <sub>3</sub> C <i>Tol</i>	Ni(cod) <sub>2</sub> (x mol%) <u>L1 (1.2x mol%)</u> solvent, r.t. 5 min	Ph Ph Toi (R)-3a	F <sub>3</sub>	O ∑…Ph  Ph
Entry	X	Solvent	Yield	(%)	ee (%)	$\operatorname{TOF}\left(h^{-1}\right)$
1 2 3	1.0 0.5 0.5	Toluene Toluene MeO <sup>t</sup> Bu	e 99 e 51 i 92		95 95 93	1188 1224 2208

<sup>&</sup>lt;sup>*a*</sup> Reaction conditions: **1a** (0.2 mmol), enone **2a** (0.2 mmol),  $Ni(cod)_2$  and a chiral ligand in a solvent (2.0 mL) under argon for 5 min, isolated yield. The ee was determined by HPLC on a chiral stationary phase.

catalyst loading of Ni/L1 was reduced to 0.5 mol%, the desired product **3a** was obtained in 51% yield and 95% ee within 5 min (entries 1 and 2). When the solvent was changed to MeO'Bu, the desired product **3a** was obtained in 92% yield and 93% ee, which correspond to a TOF of 2208 h<sup>-1</sup> (entry 3 and ESI Table 1†). Such catalytic activity is exceptional in enantioselective coupling systems *via* C–C bond activation.

With the highly efficiency in hand (Table 1),<sup>8</sup> we further explored new coupling partners for this reaction system. After detailed studies of the reaction parameters of the coupling of  $\alpha$ -CF<sub>3</sub> enone and cyclopropenone **1a** (Table S2 in the ESI<sup>†</sup>),  $\gamma$ -CF<sub>3</sub>-butenolide product 4a was obtained in a high yield with excellent enantioselectivity.<sup>10</sup> However, other carbonyl compounds such as acetophenone and benzaldehyde failed to undergo the desired reaction. We reasoned that  $\alpha$ -dicarbonyl compounds may be more reactive than simple carbonyl compounds.<sup>11</sup> Thus, benzil was applied as a coupling partner in the reaction with 1a with Ni(cod)<sub>2</sub>/Pybox L1 as the catalyst (Table 2). Initial studies showed that the desired product 5a was obtained only in a low yield with moderate enantioselectivity in toluene at 60 °C (Table 2, entry 1). Further screening of the solvent failed to give better results (entries 1-8). Screening of the ligand revealed that the oxazoline ring with cis vicinal diphenyl substituents gave higher enantioselectivity (entries 9-11). Inspired by these results, several new Pybox ligand bearing bulk substituents at the C-6 position were synthesized. To our delight, ligand L6 with a diphenylmethyl group at the C-6 position gave much better results (entries 12-14). Decreasing the temperature to 0 °C resulted in a good yield and excellent enantioselectivity (76% yield, 90% ee). Further decreasing the temperature to -20 °C gave lower conversion (entries 15 and 16). The desired product 5a was obtained in 95% yield and 86% ee in dioxane at 12 °C (entry 17).

We next examined the scope of this coupling system using  $\alpha$ -CF<sub>3</sub> enones or 1,2-diones (Scheme 2). A series of enones bearing electron-withdrawing and electron-donating groups at the *para*-position of the benzene ring coupled to afford the desired products with excellent enantioselectivity (**4a**-**4e**, 37–81% yields, 94–98% ee). The decomposition of diarylcyclo-

Table 2 Optimization of reaction conditions<sup>a</sup>



<sup>a</sup> Reaction conditions: **1a** (0.20 mmol), benzil (0.20 mmol), Ni(cod)<sub>2</sub> (0.01 mmol), L\* (0.012 mmol), solvent (2.0 mL), 24 h under argon.

propenones occurred for some low activity systems. The reaction also worked well in the presence of a meta- or orthomethyl group (4f, 77% yield, 98% ee, and 4g, 87% yield, 96% ee). A 2-naphthyl-substituted enone also afforded the desired product 4h in an acceptable yield with excellent enantioselectivity (98% ee). The scope of cyclopropenones was briefly examined, and diarylcyclopropenones bearing a trifluoromethyl or fluoro group were well tolerated with excellent enantioselectivity (4i, 21% yield, 99% ee and 4j, 60% yield, 98% ee). The absolute configuration of 4c and 4i was unambiguously confirmed by X-ray crystallography. We then explored the generality of 1,2-diones as a coupling partner. A range of aryl-aryl 1,2-diketones bearing methyl, fluoro, chloro, and bromo groups at the para position were tolerated (5a-5e, 52-89% yields, 84-90% ee). The diminished efficiency of 5b might indicate an electronic effect at the para position of the benzene ring. Introduction of a meta OMe group resulted in lower efficiency and enantioselectivity (5g, 71% yield and 78% ee). Several cyclopropenones were also briefly examined, and fluoro- or chloro-substituted cyclopropenones afforded the desired products in moderate yields with excellent enantioselectivities (5h-5l, 68-88% yields, 86-88% ee). In contrast, dialkylcyclopropenones, hexane-3,4-dione and symmetrical



Scheme 2 Substrate scope. Reaction conditions: 1 (0.2 mmol), enone or dione (0.2 mmol), Ni(cod)<sub>2</sub> (0.01 mmol), and L\* (0.012 mmol) in the solvent (2.0 mL), 24 h, isolated yield. <sup>a</sup> Reaction conditions A: L2 (0.012 mmol), MeO<sup>t</sup>Bu (2.0 mL), room temperature. <sup>b</sup> Reaction conditions B: L6 (0.012 mmol), toluene (2.0 mL), 0 °C. <sup>c</sup> Reaction conditions C: L6 (0.012 mmol), dioxane (2.0 mL), 12 °C. <sup>d</sup> Room temperature.

diarylcyclopropenones with *ortho* methyl groups all failed to undergo any coupling.

### Mechanistic studies

The remarkable activity, excellent chemo- and enantioselectivity, and practicality of the coupling of enones prompted us to conduct detailed mechanistic studies to understand the unique performance. We previously briefly demonstrated that this reaction of **1a** and **2a** proceeded through an ionic reaction pathway instead of a radical reaction pathway.<sup>8</sup> Linear correlation between the ee of **L1** and that of **3a** suggested that only one **L1** ligand was involved in each active Ni species in the enantio-determining step.<sup>12</sup> Moreover, control experiments were carried out in the presence of the **L1** ligand alone to determine whether the reaction proceeds *via* organo or organometallic catalysis (Table S1, ESI<sup>†</sup>).<sup>13</sup> No reaction occurred in the presence of the L1 ligand and in the absence of  $Ni(cod)_2$ , indicating that the Ni metal is essential for the conversation. Exposure of the reaction system to air resulted in instantaneous inhibition of the reaction, while the reaction was not sensitive to water, supporting the possibility of Ni(0) species in the catalytic cycle.

#### **Computational studies**

Theoretical calculations were performed at the density functional theory level using the Gaussian 09 series of programs  $(B3-LYP \text{ with the standard } 6-31G(d))^{14}$  to investigate the mechanism and the origin of the enantioselectivity for this Ni (0)-catalyzed [3 + 2] annulation reaction between substrates 1a and 2a. In the lowest energy pathway as far as we have identified (Fig. 1), chiral ligand-bound L1-Ni(0) species CP1 was set to the relative zero energy. It was found that enone 2a has much higher affinity toward Ni(0) than cyclopropenone 1a, and ligand exchange of enone with cyclooctadiene in CP1 gives intermediate CP2 with an exergonicity of 16.7 kcal mol<sup>-1</sup> as a result of the stronger coordination of enone (a stronger  $\pi$ acceptor) than that of COD. Coordination of 1a forms the four-coordinate Ni(0) species CP3, which undergoes oxidative addition of cyclopropenone via transition state TS1 with a calculated free energy barrier<sup>9</sup> of 7.8 kcal mol<sup>-1</sup>, delivering the five-coordinate, four-membered nickelacycle CP4. Subsequently, a rare 4,1-insertion of enone into the Ni-acyl bond was identified, which proceeds via the unusual six-membered ring TS2 featuring an endo-type 4,1-insertion, giving the tethered allyl-Ni(II) intermediate CP5 (via transition state TS2) with an barrier of only 14.7 kcal mol<sup>-1</sup>. The C(alkenyl)-C (allyl) reductive elimination then takes place via transition state TS3 with a barrier of only 14.4 kcal mol<sup>-1</sup> to afford the olefin-bound Ni(0) species CP6. Ligand exchange with enone releases the [3 + 2] annulation product 3a together with the active catalytic intermediate CP2 to complete the catalytic cycle. In comparison, the alternative [3 + 4] annulation product 3a was also considered via C(alkenyl)-C(distal allyl) reductive elimination of allyl-Ni(II) intermediate CP5 via the transition state TS4. The relative energy of TS4 is 29.8 kcal  $mol^{-1}$  higher than that of TS3, indicating that the [3 + 4] annulation is unlikely. DFT examination of the enantioselectivity defined by this pathway also gives the observed (R) enantioselectivity. On the basis of these DFT studies, **CP2** is theoretically predicted as the resting state of the catalyst, and the reaction is expected to be 0<sup>th</sup> order with respect to enone **2a** and 1<sup>st</sup> order for **1a**.

To understand the origin of the high enantioselectivity enabled by ligand L1, four diastereomeric 4,1-insertion patterns of the enone-bound transition states (TS2-R-endo, TS2-Rexo, TS2-S-endo, and TS2-S-exo) were examined.<sup>15</sup> The endo and exo conformations of these transition states are distinguished by the positions of allyl and phenyl olefin in the 4,1insertion products; the conformation of TS2 in Fig. 1 is endo. As shown in Fig. 2, in this stereoselectivity-determining step, the relative energy of **TS2-R-***endo* is 15.9 kcal  $\text{mol}^{-1}$  lower than that of TS2-R-exo. Analysis of the two transition states in Fig. 2 reveals that the repulsion between the phenyl group of ligand L1 and the trifluoromethyl group of enone in TS2-R-exo raised the free energy barrier. The relative energy of TS2-S-endo is 15.1 kcal mol<sup>-1</sup> lower than that of **TS2-S-exo**. The steric repulsion between the enone substrate and the chiral center in ligand L1 raises the energy of TS2-S-exo. The relative energy of TS2-R-endo is 3.3 kcal mol<sup>-1</sup> lower than that of TS2-S-endo, which is in good accordance with the high (R) selectivity observed experimentally. Analysis of the 3D structure of TS2-Sexo shows that the steric repulsion of the trifluoromethyl group in the enone with the proximal phenyl group in the oxazoline ring of ligand L1 contributes to the control of enantioselectivity.

As given in Fig. 1, the barrier of C–C bond cleavage of cyclopropenone is only 7.8 kcal mol<sup>-1</sup>. The alternative oxidative addition of cyclopropenone onto the Ni(0) center without any ligation of the enone (three coordinate) has also been calculated. As shown in Fig. 3, ligand exchange of cyclopropenone and enone in **CP2** gives complex **CP8** with 7.3 kcal mol<sup>-1</sup> endergonicity. The subsequent oxidative addition occurs *via* transition state **TS5** with an energy barrier of 24.7 kcal mol<sup>-1</sup> to generate the four-membered nickelacycle **CP9**. The coordination of enone onto the Ni( $\pi$ ) center in **CP9** forms the fivecoordinate Ni( $\pi$ ) intermediate **CP4** (a common intermediate in



Fig. 1 Computed lowest energy pathway of the Ni(0)-catalyzed [3 + 2] annulation reaction.



Fig. 2 DFT studies of the stereoselectivity-determining step.



Fig. 3 Free energy profile for oxidative addition of cyclopropenone onto the Ni(0) center without ligation of enone.

Fig. 1). The free energy of transition state **TS5** is 11.4 kcal  $mol^{-1}$  higher than that of **TS1** in Fig. 1, thus indicating that substitution of enone in **CP2** is unfavorable. The calculated results indicated that coordination of enone **1a** to the Ni(0) center would facilitate the oxidation addition process of cyclopropenone (Fig. 3). Nevertheless, this calculated pathway, if followed, corresponds to 1<sup>st</sup> order kinetics of **1a** but 0<sup>th</sup> order kinetics of **2a**, which is kinetically distinguishable from the kinetic profiles in Fig. 1.

The third pathway, which involves oxidative cyclization,  $\beta$ -C elimination, and reductive elimination, has also been considered. The calculated Gibbs energy profiles for this (bimolecular oxidative cyclization) pathway are shown in Fig. 4. Ligand exchange of enone with cyclooctadiene gives  $\pi$ -complex **CP2** with 16.7 kcal mol<sup>-1</sup> exothermicity. The coordination of cyclopropenone to the Ni(0) center in **CP2** forms complex **CP10**. Subsequent oxidative cyclization occurs *via* transition state **TS6** with a barrier of 43.8 kcal mol<sup>-1</sup> to give the seven-membered nickelacycle **CP11**. The allyl-Ni( $\pi$ ) intermediate **CP5** is then formed *via*  $\beta$ -C elimination transition state **TS7** with an overall activation free energy of 54.5 kcal mol<sup>-1</sup>. The relative energy of **TS7** is 31.8 kcal mol<sup>-1</sup> higher than that of **TS2**. Therefore, this pathway can be excluded (Fig. 4).

The free energy profile of an alternative simple oxidative cyclization of enone 2a to the Ni(0) center has been calculated. As shown in Fig. 5, the  $\pi$ - to  $\sigma$ -isomerization of CP2 affords the C–O chelating Ni( $\pi$ ) species CP12 (diastereomeric mixtures) *via* 



**Fig. 4** Free energy profile for oxidative cyclization of enones to the Ni (0) center.



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**Fig. 5** Free energy profile for oxidative cyclization of enones to the Ni (0) center.

transition state **TS8** with an activation barrier of 28.2 kcal  $mol^{-1}$ . The relative energy of **CP12** is 16.9 kcal  $mol^{-1}$  higher than that of **CP2**, which shows that the oxidative cyclization of enone is both thermodynamically and kinetically unfavorable.

#### Identification of reaction intermediates

To correlate the experimental with the DFT studies, we sought to identify the reaction intermediates. When a mixture of Ni (cod)<sub>2</sub>, **L1**, and enone **2a** was stirred, the olefin complex **CP2** was obtained in a 1.8:1 diastereoselectivity ratio and was characterized by NMR spectroscopy (Scheme 3, eqn (1)). The diastereomeric mixture of **CP2** was also identified by <sup>19</sup>F NMR spectroscopy in a catalytic system using THF solvent, which is a less efficient solvent to allow monitoring of the reaction process (ESI†). While it is difficult to obtain the crystal structure of the isomeric complex **CP2** due to instability, we turned our attention to stabilization using achiral phosphine ligands, which also proved to be suitable ligands for this racemic [3 + 2] annulation reaction (ESI, Table S1† entry 10). By using the PPh<sub>3</sub> ligand, the 16-electron olefin complex **6** was isolated



Scheme 3 The formation of the nickel-olefin intermediate and the reaction of 2a with the nickel complex (monitored by  $^{19}$ F NMR).

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from a stoichiometric reaction, and the structure of **6** was unambiguously determined by X-ray crystallography.<sup>16</sup> Complex **6** is Y-shaped three-coordinate with two *cis* PPh<sub>3</sub> ligands, and no oxidative cyclization of the enone was observed even at a high temperature (Scheme 3, eqn (2)). The observation of olefin-Ni complexes in both ligand systems clearly indicates that enone **2a** is a far better ligand than COD. A further study verified that complexes **CP2** and **6** could readily catalyze the coupling of **1a** and **2a** without loss of efficiency, indicating that the olefin-Ni complex was an intermediate in the coupling reactions.<sup>17</sup>

### Resting state study

The coupling of substrates **1a** and **2a** in the presence of Ni/ PPh<sub>3</sub> was monitored by <sup>19</sup>F NMR, in which complex **6** was observable from the very beginning of the reaction by comparing the <sup>19</sup>F NMR spectrum of the isolated **6** with that of the reaction mixture. The concentration of **6** remained essentially constant during the reaction course using 4-(trifluoromethyl) anisole as an internal standard (Fig. 6). These results indicate that the olefin-Ni intermediate **6** is the resting state in our reaction system, and it is also in a steady state.<sup>18</sup>

#### **Kinetic studies**

We next conducted kinetic studies to probe the validity of our calculations. The Hammett linear correlation has been studied for the couplings of a series of *para*-electronically varied CF<sub>3</sub>-enones **2** and **1a**, and a positive slope ( $\rho = +1.7$ ) of the Hammett plot (Fig. 7a, for details see the ESI†) indicated stabilization of the negative charge in the transition state. These results are consistent with the rate-determining **4**,1-insertion of enone into the Ni–acyl bond *via* six-membered ring **TS2** 



Fig. 6 Resting state study and <sup>19</sup>F NMR spectra of the reaction mixture.



**Fig. 7** Hammett equation and kinetic profile for the cyclization reactions using **L1** as the ligand. From top to bottom: (a) Hammett equation with different substituted enones **2**. (b) Plot of initial rates *versus* **[1a]**. (c) Plot of initial rates *versus* **[2a]**.

(Fig. 1). In addition, kinetic studies of the reaction of diphenylcyclopropenone **1a** and enone **2a** by the method of initial rates were performed. This reaction was found to be first order for cyclopropenone **1a**, indicating that **1a** is involved in the turnover-limiting step (Fig. 7b). Zero-order kinetics was observed for enone **2a** (Fig. 7c), and this observation is fully consistent with our DFT studies in Fig. 1 (with **CP2** being the resting state).

Furthermore, our independent kinetic experiments using PPh<sub>3</sub> as a ligand were also performed, and the same observations were made. In this case, we also observed  $1^{st}$  order kinetics for **1a**, and zero-order kinetics for **2a**, and these results suggest that the reaction proceeded through a similar pathway using **L1** or PPh<sub>3</sub>. The Ni(0)/PPh<sub>3</sub> catalyst was found to be first-order in this cyclization reaction, indicating that only one Ni center was involved in the turnover-limiting step (for details see the ESI†). Indeed, our DFT studies on the Ni/PPh<sub>3</sub>-catalyzed system also agree well with these kinetic results.

### Conclusions

A comprehensive mechanistic study by combined experimental and computational approaches has been conducted to investigate the mechanism of the nickel-catalyzed enantioselective [3 + 2] annulation via C-C bond activation of cyclopropenones. This nickel-catalyzed system was found to be highly efficient (with a TOF up to 2208  $h^{-1}$ ). The scope of other coupling partners was further defined, and  $\alpha$ -CF<sub>3</sub> enones and 1,2-diones were applicable in this asymmetric system. DFT studies revealed that the reaction is initiated by oxidative addition of diphenylcyclopropenone to olefin-bound nickel(0), followed by endo-type 4,1-insertion and C-C reductive elimination of a Ni (II) allyl intermediate. The olefin-Ni intermediate was found to be the resting state based on both experimental studies and DFT calculations. Computational studies also verified that coordination of the olefin unit of enone to the nickel center dramatically decreases the energy barrier of subsequent C-C oxidative addition of cyclopropenone. The rarity, high chemoand enantioselectivity, and atom- and step-economy of this intermolecular C-C activation provide an important starting point for further development to fully exploit catalytic C-C bond activation.

### Conflicts of interest

The authors declare no competing financial interest.

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